

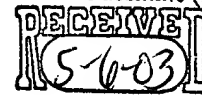
Carstens,
Yee &
Cahoon, L.L.P.

13760 Noel Road
Suite 900
Dallas, Texas 75240

Official

Main No. (972) 367-2001

Facsimile (972) 367-2002



Facsimile Cover Sheet

To: Assistant Commissioner of Patents for Examiner Lilian Vo Group Art Unit 2127	Facsimile No.: 703/746-7238
From: Rebecca Clayton for Carrie Parker Legal Assistant to Patrick C. R. Holmes	No. of Pages Including Cover Sheet: 8
Message: Enclosed herewith: <ul style="list-style-type: none">• Transmittal Document; and• Preliminary Amendment.	
Re: Application No. 09/299,952 Attorney Docket No: AT9-99-174	
Date: Tuesday, May 06, 2003	
Please contact us at (972) 367-2001 if you do not receive all pages indicated above or experience any difficulty in receiving this facsimile.	<i>This Facsimile is intended only for the use of the addressee and, if the addressee is a client or their agent, contains privileged and confidential information. If you are not the intended recipient of this facsimile, you have received this facsimile inadvertently and in error. Any review, dissemination, distribution, or copying is strictly prohibited. If you received this facsimile in error, please notify us by telephone and return the facsimile to us immediately.</i>

**PLEASE CONFIRM RECEIPT OF THIS TRANSMISSION
BY FAXING A CONFIRMATION TO 972-367-2002.**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Official

In re application of: George et al.

Serial No.: 09/299,952

Filed: April 26, 1999

For: Method and System for
Capturing and Storing System
Changes for Application to Multiple
Users and Systems in a Heterogeneous
Server Environment



35525

PATENT TRADEMARK OFFICE

§
§
§
§
§
§

Group Art Unit: 2127

Examiner: Vo, Lillian

Attorney Docket No.: AT9-99-174

5-6-03

Certificate of Transmission Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being transmitted via
facsimile to the Commissioner for Patents, P.O. Box 1450,
Alexandria, VA 22313-1450, facsimile number (703) 746-7238,
on May 6, 2003.

By:

Rebecca Clayton

TRANSMITTAL DOCUMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir;

ENCLOSED HEREWITH:

• Preliminary Amendment

after final

No fees are believed to be required. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to IBM Corporation Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0447.

Respectfully submitted,

Duke W. Yee

Registration No. 34,285

CARSTENS, YEE & CAHOON, LLP

P.O. Box 802334

Dallas, Texas 75380

(972) 367-2001

ATTORNEY FOR APPLICANTS

#9
5/8/3
he/amt
Official

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: George et al.

Serial No.: 09/299,952

Filed: April 26, 1999

For: Method and System for
Capturing and Storing System
Changes for Application to Multiple
Users and Systems in a Heterogeneous
Server Environment§
§
§
§
§
§

Group Art Unit: 2127

Examiner: Vo, Lilian

Attorney Docket No.: A19-99-174



Certificate of Transmission Under 37 C.F.R. § 1.8(a)
I hereby certify this correspondence is being transmitted via facsimile to the
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,
facsimile number (703) 746-7238 on May 6, 2003.

By: Rebecca Clayton
Rebecca Clayton

~~PRELIMINARY AMENDMENT~~ after final.Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

No fees are believed to be required. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to IBM Corporation Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0447.

Prior to continued examination of this application, please amend the above-identified application as follows: